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PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/803,171-Conf. #4147
	Filing Date	March 17, 2004
	First Named Inventor	Robert H. Slaughter
	Art Unit	3672
	Examiner Name	W. P. Neuder
Total Number of Pages in This Submission	Attorney Docket Number	05516/086003

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Reply to Missing Parts/Incomplete Application  <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input checked="" type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Submission of Drawings Change of Firm Name Return Receipt Postcard
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	OSHA · LIANG LLP		
Signature			
Printed name	Jeffrey S. Bergman		
Date	May 25, 2006	Reg. No.	45,925



Application No. (if known): 10/803,171

Attorney Docket No.: 05516/086003

**Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV842276462US in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on May 25, 2006  
Date

Signature

Michelle Hayden

Typed or printed name of person signing Certificate

Registration Number, if applicable

(713) 228-8600  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Transmittal (1 page)
- Amendment Transmittal (1 page)
- Fee Transmittal (1 page)
- Amendment (11 pages)
- Terminal Disclaimer by Applicant Attorney (2 pages)
- Submission of Drawings (1 page)
- Drawings (10 Sheets) (14 Figs.)
- Change of Firm Name (1 page)
- Payment by credit card. Form PTO-2038 is attached (1 page)
- Charge \$130.00 to credit card



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<b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b> <b>FEE TRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete if Known</b>	
		Application Number	10/803,171-Conf. #4147
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	March 17, 2004
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) 130.00		First Named Inventor	Robert H. Slaughter
		Examiner Name	W. P. Neuder
		Art Unit	3672
		Attorney Docket No.	05516/086003

**METHOD OF PAYMENT** (check all that apply)

☐ Check    ☒ Credit Card    ☐ Money Order    ☐ None    ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account    Deposit Account Number: 50-0591    Deposit Account Name: Osha · Liang LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below    ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17    ☒ Credit any overpayments

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims**    **Extra Claims**    **Fee (\$)**    **Fee Paid (\$)**    **Multiple Dependent Claims**

19 - 20 = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_    **Fee (\$)**    **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**    **Extra Claims**    **Fee (\$)**    **Fee Paid (\$)**

2 - 3 = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____ / 50 _____ (round up to a whole number) x _____ = _____		

**4. OTHER FEE(S)**

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): <u>1814 Statutory Disclaimer</u>	130.00

**SUBMITTED BY**

Signature	<u>Jeffrey S. Bergman</u>	Registration No. (Attorney/Agent)	45,925	Telephone	(713) 228-8600
Name (Print/Type)	Jeffrey S. Bergman	Date	May 25, 2006		



# AMENDMENT TRANSMITTAL LETTER

Docket No.  
05516/086003

Application No.  
10/803,171-Conf. #4147

Filing Date  
March 17, 2004

Examiner  
W. P. Neuder

Art Unit  
3672

Applicant(s): Robert H. Slaughter et al.

Invention: BACK REAMING TOOL

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	19	- 20 =		x	
Independent Claims	2	- 3 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Statutory Disclaimer					130.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					130.00

☒ Large Entity

☐ Small Entity

☐ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. 50-0591 in the amount of \$                     .  
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$                      to cover the filing fee is enclosed.

☒ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591  
as described below.

☒ Credit any overpayment.

☐ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Jeffrey S. Bergman  
Jeffrey S. Bergman  
Attorney/Agent Reg. No. 45,925

Dated: May 25, 2006

OSHA · LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600



Docket No.: 05516/086003  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Robert H. Slaughter et al.

Application No.: 10/803,171

Confirmation Number: 4147

Filed: March 17, 2004

Art Unit: 3672

For: BACK REAMING TOOL

Examiner: W. P. Neuder

**SUBMISSION OF DRAWINGS**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith is one set (ten sheets, fourteen figures) of drawings for filing in the above-identified patent application. Kindly substitute the enclosed drawings for the drawings submitted with the originally filed application.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 05516/086003 from which the undersigned is authorized to draw.

Dated: May 25, 2006

Respectfully submitted,

By Jeffrey S. Bergman  
Jeffrey S. Bergman  
Registration No.: 45,925  
OSHA · LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600  
(713) 228-8778 (Fax)



Docket No.: 05516/086003  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Robert H. Slaughter et al.

Application No.: 10/803,171

Filed: March 17, 2004

For: BACK REAMING TOOL

Confirmation No.: 4147

Art Unit: 3672

Examiner: W. P. Neuder

**CHANGE OF FIRM NAME**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please note that our firm name has changed effective April 15, 2005, to --  
**Osha•Liang LLP** --.

Accordingly, please send all correspondence for this application to the following  
address:

Jeffrey S. Bergman  
OSHA•LIANG LLP  
1221 McKinney Street, Suite 2800  
Houston, Texas 77010

Dated: May 25, 2006

Respectfully submitted,

By Jeffrey S. Bergman  
Jeffrey S. Bergman  
Registration No. 45,925  
OSHA • LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600  
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